

Downtown Revitalization Initiative (DRI)

Open Call for Projects Information Session

July 2, 5:30-6:30pm | RocketCup Coffee

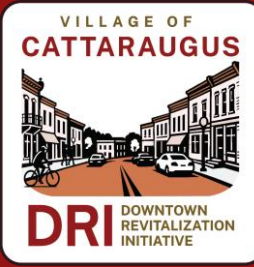


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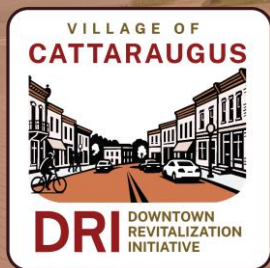
Agenda



- Welcome and Introductions
- DRI Program Overview
- DRI Project Requirements
- Cattaraugus DRI Vision, Goals, and Evaluation Criteria
- DRI Funding Awards
- Complementary Funding Opportunities
- Project Development
- DRI Project Form
- Next Steps
- Q&A



DRI Program Overview

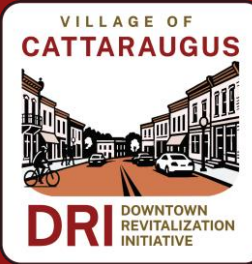


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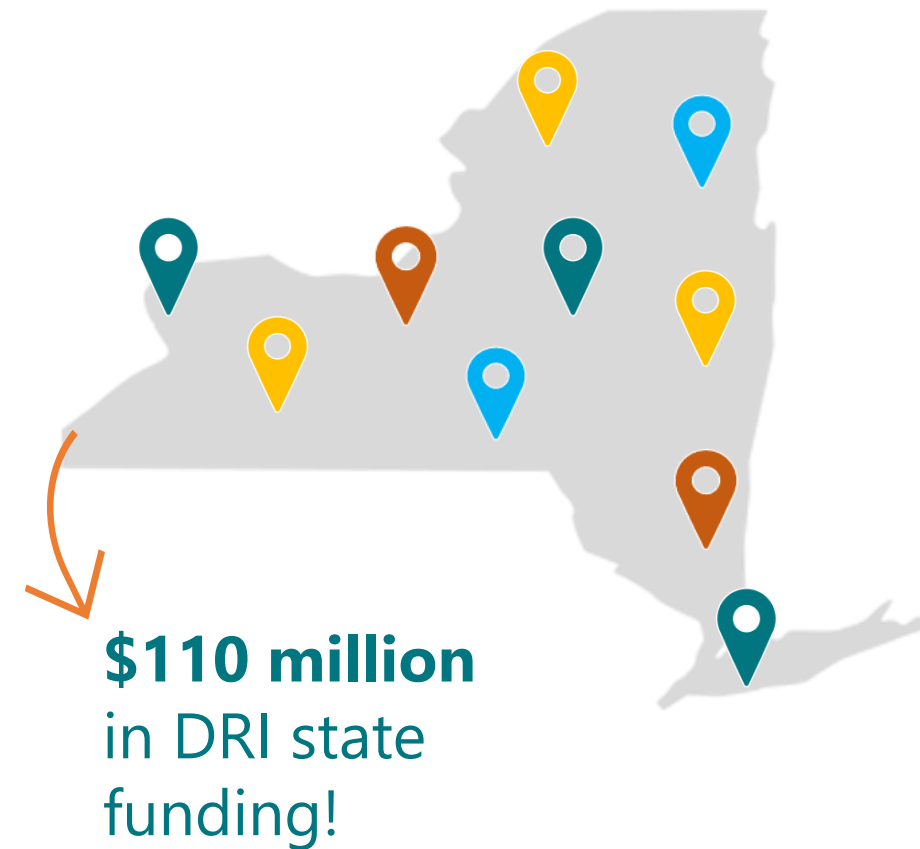
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DRI Program Overview



- Launched in 2016 to improve the vitality of urban centers across the State
- Regional Economic Development Councils (REDCs) nominate communities for significant investment to revitalize their downtowns
- In DRI Round 8, each of the REDCs chose one community to receive \$10 million (\$20 million awarded in NYC for a total of \$110 million statewide)
- Each community prepares a Strategic Investment Plan that guides the implementation of transformational project
- Village's winning application can be found online at www.CattaraugusDRI.com



Statewide DRI Program Goals



**Create an
active downtown
with a mix of uses**



**Enhance downtown
living and quality of life**



**Provide diverse employment
opportunities for a variety of
skill sets and salary levels**



**Create diverse
housing options
for all income
levels**



**Provide enhanced public
spaces that serve those
of all ages and abilities**

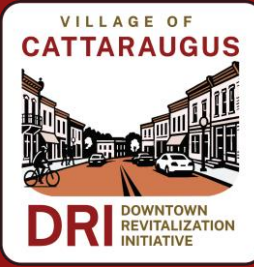


**Grow the local
property tax base**



**Encourage the
reduction
of greenhouse gas
emissions**

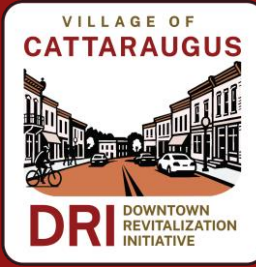
Open Call for Projects



- Used to identify DRI projects sponsored by public, private, and non-profit entities
- All project sponsors must submit a Project Form to be considered for funding
- Provides an open, fair, and transparent process for LPC to vet projects
- Open Call lasts for 4 weeks
- Technical assistance available
- Allows LPC and consultant team to obtain information in a consistent manner
- Submission of a proposal for inclusion in the Strategic Investment Plan (SIP) does not guarantee selection or funding

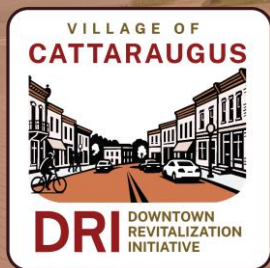


DRI Stakeholders



- The DRI process utilizes a plan-then-act approach that includes analysis of existing community conditions, identification of transformational projects, and development of a Strategic Investment Plan that guides State funding decisions.
- Following the planning process, New York State will award \$10 million to support the implementation of key DRI projects, with projects expected to begin within two years and be completed within five years.
- The Cattaraugus DRI process is guided by:
 - State agencies: oversee the program and provide guidance on project eligibility, grant requirements, and available resources
 - Local Planning Committee: advises on community needs and public engagement opportunities; reviews and recommends projects for funding
 - Consultant team: facilitates the planning process including soliciting potential projects and assisting with project development
 - Public: advises on communities needs and provides feedback on projects submitted for funding consideration

DRI Project Requirements



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Open Call Overview



- Open Call for Projects: July 2 to August 1
- Proposed projects must be located within or near the preliminary boundary
- Process:
 - Applicants submit a complete DRI Project Form (with assistance from consultant as needed) by August 1
 - Applicants submit a Small Project Fund Interest Form for smaller or less transformative projects
 - Technical assistance and open office hours available throughout the Open Call for Projects
- More details and a downloadable Project Form are available at www.CattaraugusDRI.com/#projects

A flyer for the DRI Project Form. It features the logos of the New York State Department of State, the Downtown Revitalization Initiative, and NY Forward. The text "Village of Cattaraugus" is in a teal box, and "DRI Project Form" is in a yellow box. The flyer describes the Village of Cattaraugus' goal to fund catalytic projects in the downtown area and provides a deadline of August 1, 2025. It also mentions an informational meeting on Wednesday, July 2, from 5:30-6:30pm at RocketCup Coffee.

Funding Application Forms for Projects



- **DRI Project Form**

- For transformational projects >\$75K in total project cost to be considered for grant funding directly from the state
- Provide as much detail as possible for project profile development

- **Small Project Fund Interest Form**

- For smaller, less transformational projects <\$75K in total project cost to be considered for grant funding through the Village of Cattaraugus (if the fund is created)
- Provide brief project description and itemized cost estimates for improvements/activities

A screenshot of a flyer for the DRI Project Form. The flyer has a white background with a teal header bar containing the text "Village of Cattaraugus". Below the header, the title "DRI Project Form" is underlined. The main body of text describes the funding opportunity, mentioning the Village of Cattaraugus, the New York State Downtown Revitalization Initiative (DRI), and the Local Planning Committee (LPC). A yellow button with the text "August 1, 2025" is prominently displayed. At the bottom, there is a line of text about an informational meeting and the date "Wednesday, July 2, 5:30-6:30pm".

NEW YORK STATE Department of State Downtown Revitalization Initiative NY Forward

Village of Cattaraugus

DRI Project Form

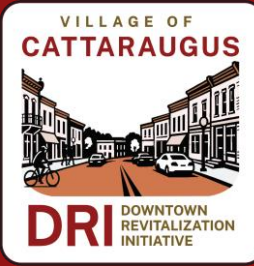
The Village of Cattaraugus has been awarded funds through the New York State Downtown Revitalization Initiative (DRI) to fund catalytic projects in the downtown to spur revitalization. The Local Planning Committee (LPC) is seeking project proposals from the public for potential projects to be included in the Village of Cattaraugus' Strategic Investment Plan. Projects included in the Strategic Investment Plan will be reviewed by New York State and may receive funding from a total allocation of \$10 million. Project proposals must be received by:

August 1, 2025

An informational meeting to learn more about the Open Call for Projects and eligibility requirements will be held in-person at RocketCup Coffee on:

Wednesday, July 2, 5:30-6:30pm

Eligible Project Types



Public Improvement Projects

Streetscape and transportation improvements, recreational trails, new and upgraded parks, plazas, public art, green infrastructure, and other public realm projects.



New Development and/or Rehabilitation of Existing Downtown Buildings

Development and redevelopment of real property for mixed-use, commercial, multi-family residential, not-for-profit, or public uses. Development / redevelopment should result in employment opportunities, housing choices or other community services.



Small Project Grant Fund

A locally managed matching small project fund (up to \$600,000) for small downtown projects, such as façade improvements, building renovations, capital equipment, or public art.



Branding and Marketing

Downtown branding and marketing projects that target residents, tourists, investors, developers and visitors.

Ineligible Project Types

- **Planning Activities.** All DRI funds must be used to implement projects.
- **Operations and Maintenance.** Funds cannot be used for on-going or routine expenses, such as staff salaries and wages, rent, utilities, and property up-keep.
- **Pre-award Costs.** Reimbursement for costs incurred before the completion of the Strategic Investment Plan and the announcement of funding awards is not permitted.
- **Property Acquisition.** DRI funds cannot be used for property acquisition.
- **Training and Other Program Expenses.** DRI funds cannot be used to cover continuous costs, such as training costs and expenses related to existing programs.
- **Expenses related to Existing Programs.** DRI funds cannot supplement existing programs or replace existing resources.

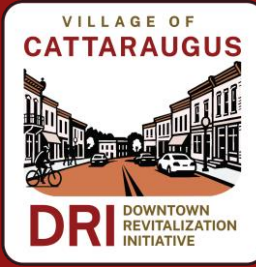
Proposed DRI Boundary



- All projects receiving DRI funds must be located within the final boundary
- Projects submitted for funding consideration must be within or near the proposed boundary
- LPC can make minor boundary adjustments based on projects submitted through the Open Call for Projects



DRI Project Requirements



Timing - must be able to break ground within 2 years and be completed in 5 years

Sponsor - must have an entity (not an individual) that agrees to undertake the project

Site Control - must have authority to undertake the project at that location

Scope - must have potential to transform the downtown area

Budget - should have a detailed budget and leverage other funding

Decarbonization - may be required to meet energy efficiency requirements

Financing - DRI is a reimbursement-based grant program, and sponsors must be able to finance all project costs prior to DRI reimbursement at project completion

Match - private projects must include a minimum 25% match (non-DRI funds)

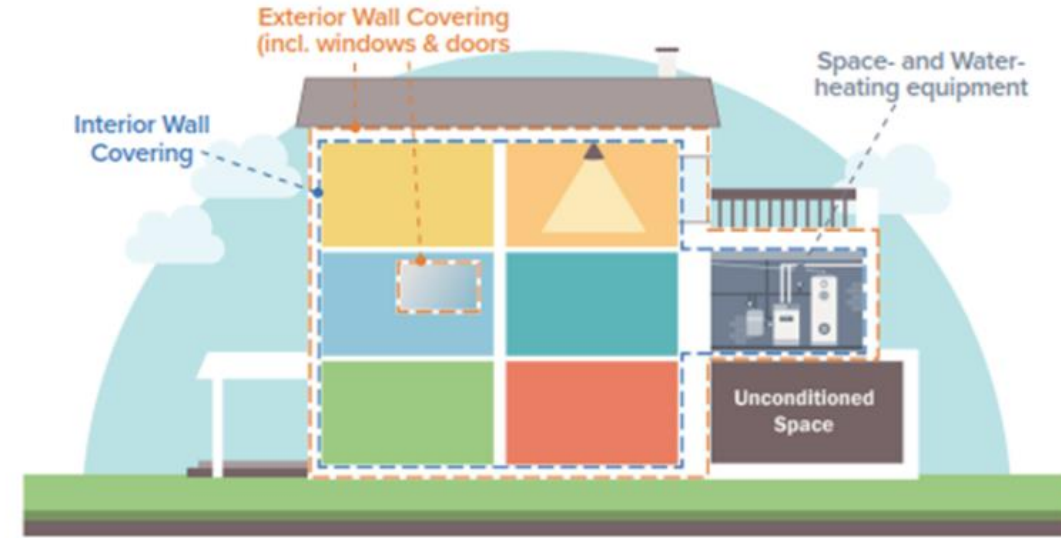
Project Match Requirements

- **Privately-Sponsored Projects** – Minimum match of 25% of total project cost
- **Public or Nonprofit-Sponsored Projects** – No minimum match requirements
- **Small Project Fund** – Minimum match of 25% of total project cost

The LPC has discretion to increase match goals, as appropriate. For example, the LPC may decide to increase the match goal for privately-sponsored projects to 40%, if desired.

Decarbonization Requirements

- Decarbonization requirements apply to:
 - New construction projects >5,000 SF
 - Building addition projects >5,000 SF
 - Substantial renovation projects >5,000 SF and other renovation criteria
- Projects must comply with one of the following:
 - Meet the NYS Stretch Energy Code
 - Obtain an Energy Star score of 90 or better using EPA's Target Finder Calculator
 - Obtain certification from a third-party such as Energy Star, LEED, etc.
- For building addition projects, only the addition is required to incorporate decarbonization
- More information on decarbonization requirements can be found at:
www.ny.gov/downtown-revitalization-initiative/decarbonization



Characteristics of Strong DRI Projects

- Well-defined project scope
- Sponsor has demonstrated capacity to implement the project
- Evidence of strong public support for the project
- Clear community and economic benefits
- Evidence of market demand (market studies, economic assessments)
- Project is financially feasible (e.g. detailed budget, pro forma)

Moderate and Weak DRI Projects

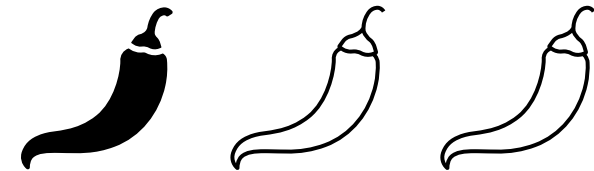
Moderate DRI Projects:

- The project is an eligible activity, but the project is still in its planning stage and its scope is still being developed
- Includes a basic cost estimate and design concepts
- Project sponsor may still be working to obtain site control or permission to undertake the project



Weak DRI Projects:

- Project is not eligible or does not have a clear scope of work
- Project sponsor does not have sufficient proof of financial backing
- Project sponsor does not have site control



Small Projects Fund

About

- Fund (up to \$600,000) that LPC may recommend in final slate of projects
- Demand must be demonstrated through letters of interest
- Administered by the Village with help of a consultant
- All small projects need to apply to the fund once established

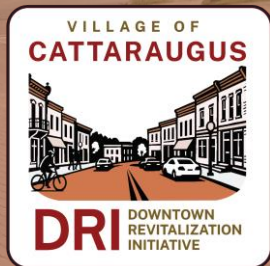
Eligible Activities (LPC can limit)

- Interior and exterior building renovations for commercial and mixed-use spaces
- Upper-story residential improvements
- Business assistance: permanent commercial machinery and equipment
- Soft costs – architectural, and engineering, services, etc.

Private Match Component (LPC can increase)

- Minimum match of 25% of the total project cost on a per project basis
- Match requirements must be realized on a building-by-building basis and are calculated using the total project cost
- In-kind or volunteer services not eligible as a match

Cattaraugus DRI Vision, Goals, and Evaluation Criteria

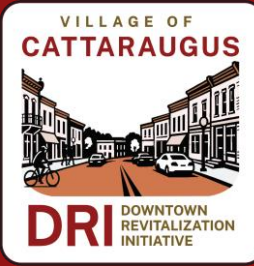


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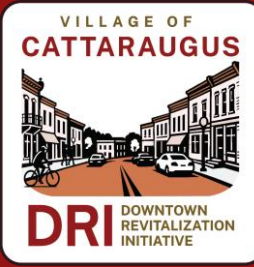
Cattaraugus DRI Vision Statement



The Village of Cattaraugus envisions a vibrant, walkable downtown that celebrates our historic red-brick charm, natural beauty, and deep-rooted cultural heritage. By honoring our industrial rail legacy and connecting it to outdoor recreation and regional trail networks, we will create a dynamic destination for dining, lodging, and community events. This transformation will spark economic growth, expand housing options, create jobs, support our youth, and inspire a new generation of residents to call Cattaraugus home.



Cattaraugus DRI Goals



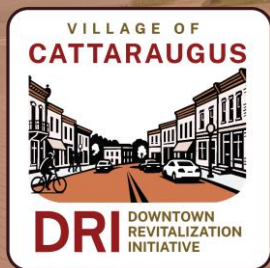
1. Attract new businesses while supporting existing businesses
2. Provide more recreational and community gathering places
3. Improve access to essential services and affordable housing
4. Increase walkability, bikeability, and accessibility of the downtown area
5. Preserve and cultivate the village's small-town character, heritage, and charm



Local Planning Committee Evaluation Criteria

- **Community and economic benefits** – the project provides opportunities and benefits to the community as a whole
- **Catalytic effect** – the project has the potential to attract additional businesses, residents, visitors, and investment
- **Estimated job growth and retention** – the project preserves and expands employment opportunities
- **Capacity of responsible parties to implement the project** – the project sponsor has the ability to complete the project as proposed
- **Need for DRI funds to make the project feasible** – the project cannot be completed without DRI funding

DRI Funding Awards



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Funding by State Agency



Department of State (DOS):

Administers funding for public infrastructure projects



**Department
of State**

Empire State Development (ESD):

Administers funding for economic development, business investment, and job creation projects



**Empire State
Development**

Homes and Community Renewal (HCR):

Administers funding for affordable housing projects and the Small Project Fund (if created)



**Homes and
Community Renewal**

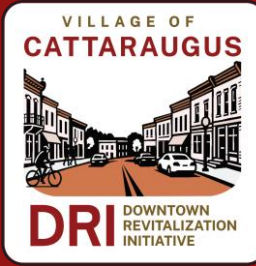
NYS Energy Research and Development Authority (NYSERDA):

Provides technical assistance on decarbonization



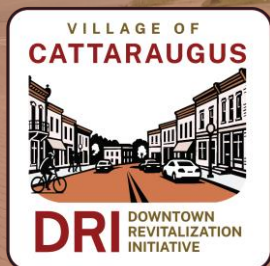
NYSERDA

Contracting with the State



- Funding will be provided on a reimbursement basis
- DRI funds are subject to all requirements typically attached to State funding:
 - Minority- and women-owned business enterprise (MWBE) goals (30%)
 - Service-disabled veteran-owned business (SDVOB) goals (6%)
 - Competitive procurement (multiple bids)
 - Grant reporting requirements
- HCR and ESD grants are subject to a pro rata recapture if property is sold within 5 years of disbursement of funds
- State agencies will provide more information on the funding process, including contracting with the State, disbursement requirements, etc., after the Open Call for Projects ends

Complementary Funding Opportunities



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2025 REDC/CFA Available Resources

More than
\$500 million is
available
through the
CFA process

Available Resources for Regional Councils in 2025

Programs Subject to 4:00 PM July 31, 2025 Deadline

Empire State Development: Up to \$68 million

- Up to \$60 million for Regional Council Capital Funds
- Up to \$1 million for Strategic Planning and Feasibility Studies
- Up to \$7 million for Market New York (working capital only)

Homes and Community Renewal: Up to \$24.2 million

- Up to \$4.2 million for New York Main Street Program
- Up to \$20 million for New York State Community Development Block Grant Program (CDBG) - Public Infrastructure*

Office of Parks, Recreation and Historic Preservation: Up to \$29 million

- Up to \$26 million for Environmental Protection Fund Grants Program for Parks, Preservation and Heritage (EPF)
- Up to \$3 million for the Recreational Trails Program (RTP)

Department of State: Up to \$17.975 million

- Up to \$13.975 million for the Local Waterfront Revitalization Program
- Up to \$2 million for the Brownfield Opportunity Area Program
- Up to \$2 million for the Smart Growth Community Planning Program*

New York State Canal Corporation: Up to \$1 million

- Up to \$1 million for the Canalway Grants Program

New York State Energy Research and Development Authority: Up to \$25 million

- Up to \$10 million for Commercial and Industrial (C&I) Carbon Challenge
- Up to \$15 million for Building Cleaner Communities Competition

Department of Environmental Conservation: Up to \$100 million*

- Up to \$75 million for the Water Quality Improvement Project (WQIP) Program*
- Up to \$22 million for the Climate Smart Communities Grant Program*
- Up to \$3 million for the Non-Agricultural Nonpoint Source Planning and Municipal Separate Storm Sewer System (MS4) Mapping Grant Program*

Open Enrollment Programs

Open Enrollment programs will continue to accept applications on an ongoing basis and are not subject to the July 31st deadline.

Empire State Development: Up to \$75 million

- Up to \$75 million for the Excelsior Jobs Program*

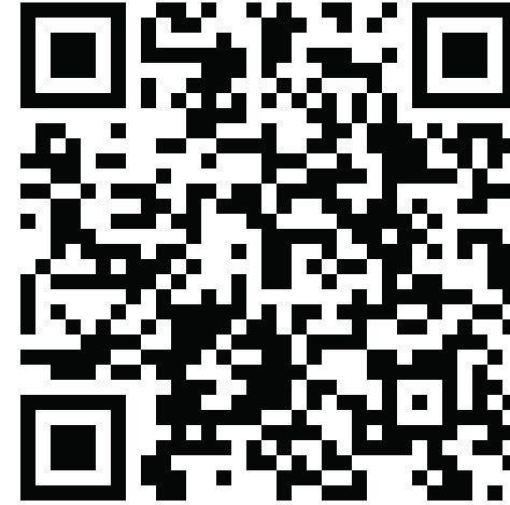
Homes & Community Renewal: Up to \$20 million*

- Up to \$20 million for Community Development Block Grant Program (CDBG)- Open Round*

New York State Power Authority: Up to 33.9 Megawatts

- Up to 33.9 Megawatts for the ReCharge NY Program

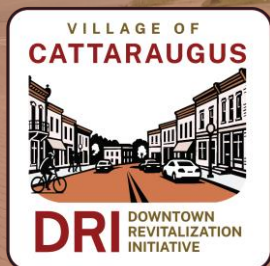
*REDCs will be made aware of projects applying to these programs but REDCs will not score these projects.



<https://apps.cio.ny.gov/apps/cfa/>

**Consolidated Funding Application Deadline:
July 31, 2025 at 4:00 pm**

Project Development



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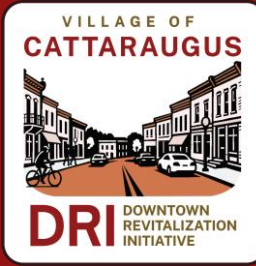
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Project Sponsor Roles and Responsibilities

Project sponsors are expected to:

- Clearly define the project purpose and scope and how it relates to the community's vision, goals, and strategies
- Work closely with the consultant team to provide the necessary information to support the development of the project profile, including a detailed scope of work, cost estimates, and renderings
- Provide information in a timely manner to enable review and evaluation by the LPC

LPC and Consultant Team Roles & Responsibilities



Local Planning Committee will:

- Review project profiles and come to each LPC meeting prepared to discuss the proposed projects
- Identify additional information necessary for the project to be considered by the LPC for DRI funding
- Identify \$12 to \$15 million in DRI funding requests to be recommended to New York State for a share of the \$10 million in available funding

Consultant Team will:

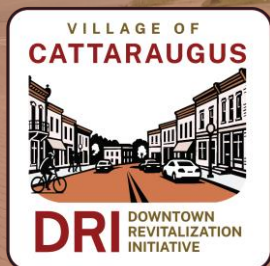
- Draft and finalize all content, renderings, photographs, graphics, etc.
- Work with project sponsors and the LPC to support development of the project profiles

Technical Assistance and Financial Resources

- A project sponsor may need assistance beyond the consulting team in situations where:
- Additional funding is needed to complete the project
 - The project sponsor does not have site control or the approval/ support of the property owner
 - The project sponsor is an individual, not a municipality, business, or nonprofit entity
 - The project sponsor lacks the administrative capacity to develop and/or implement the project
 - The project sponsor lacks a business or operating plan

The consulting team and State team will do their best to connect project sponsors with outside resources, as needed and available, to develop projects that are not yet ready for DRI funding.

DRI Project Form Contents

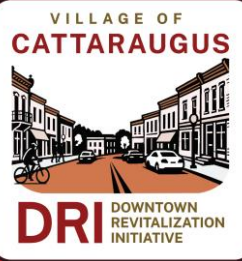


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DRI Project Form



1. Project Sponsor

- Must be a legal entity (not an individual) and have legal authority to undertake the project
- The project sponsor can be different from the property owner but must have permission to undertake project

2. Project Location/Address

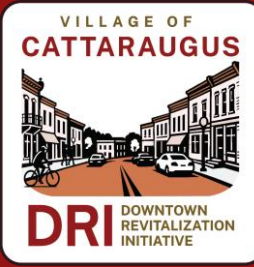
- Within or near the DRI boundary (see map)
- Note the LPC may consider minor boundary adjustments

3. Project Type

- Public improvement
- New development
- Redevelopment and/or renovation of an existing building(s);
- Small project (Less than \$75,000 in total cost)
- Branding and marketing



DRI Project Form



4. Existing Conditions

- Describe the project site's existing conditions, including why the project is needed

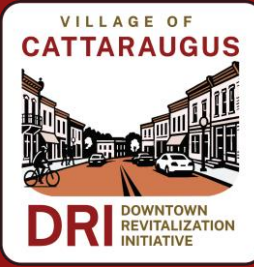
5. Project Description

- Proposed use (e.g., commercial, industrial, public improvement, mixed-use)
- Goal of the project
- Any work or planning done previously
- Size/scale of the project (i.e., square feet, acres, etc.)
- Types of activities to be completed

6. Project Alignment with Vision and Goals

- Describe how the project helps advance the community's vision and goals
- Refer to the Cattaraugus DRI Vision Statement and DRI Goals

DRI Project Form



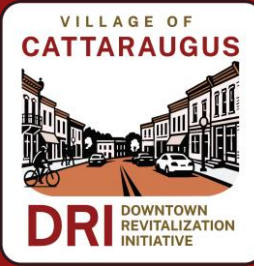
7. Property Ownership

- Identify the owner of the property where the project will be located
- If the project sponsor and property owner are not the same, describe how the sponsor will obtain legal authority to implement the project

8. Capacity

- Describe the project sponsor's experience in implementing similar projects, including any experience with grant administration
- Describe any partner entities that will help implement the project (e.g., funding, operating, or business partners, including any identified tenants)

DRI Project Form

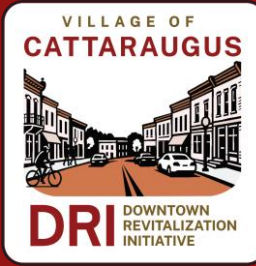


9. Preliminary Cost Estimate

- Indicate the total project costs and total DRI request
- Complete the budget table, identifying each project activity, its cost, the funding source, and the status of funding
- Funding status definitions:
 - Secured: the source and amount of funding is guaranteed
 - Anticipated: the funds are reasonably expected at time of implementation but not currently available
 - Requested: a formal request has been submitted to a funding entity
 - Undetermined: no funding source has yet been identified

Action	Cost	Funding source	Status of Funds
Total DRI funding request	\$		
Total funds from other sources	\$		
Total project cost	\$		

DRI Project Form



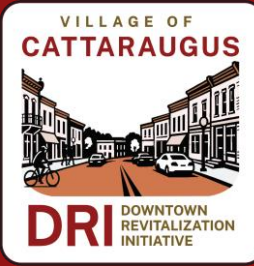
10. Cost Estimate Description

- Describe how your costs were estimated.
 - Example: state whether the estimates were provided by a third-party contractor or architect.
- Describe the status of non-DRI funds that will be used to complete the project and the timeline for obtaining them.
 - Example: *"\$100,000 will be provided by private equity. These funds are anticipated to be secured through a bridge loan from Marble Bank by September 1. Letters of commitment are attached to this document as supplemental information"*

11. Project Readiness and Timeframe for Implementation

- Describe any work that is underway or has already been completed to advance the project
- Discuss any environmental requirements, required permits, and issues that may affect implementation
- Describe the proposed implementation timeline including project milestones

DRI Project Form



12. Required Documents

- Include images of the existing project site or building (interior and/or exterior)

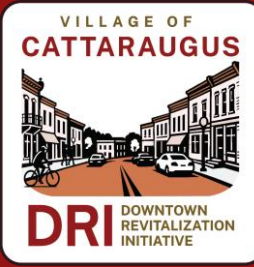
13. Additional Information

- If available, provide supplemental information such as:
 - Images/renderings of the proposed project
 - Construction cost estimates/quotes from contractors
 - Documentation of funding commitment from other sources
 - Business plans, marketing studies, pro formas, etc.
 - Other documentation of project viability and readiness

14. Decarbonization

- Decarbonization requirements are only applicable to new construction, building additions, and renovations equal to or greater than 5,000 square feet
- Consult the DRI/NYF Guidebook or DRI Decarbonization page for details (www.ny.gov/DRI) or participate in the virtual Decarbonization 101 workshop on July 15 at 10:00 am

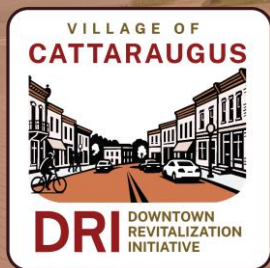
Project Profiles



- Project profile includes all relevant information needed to evaluate the project
- Developed using the information provided in the Project Form and through consultant follow-up
- Documents the status of the project and alignment with program goals
- Included in the SIP if recommended by the LPC for funding
- Each profile should be able to stand on its own



Next Steps

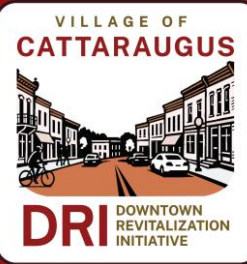


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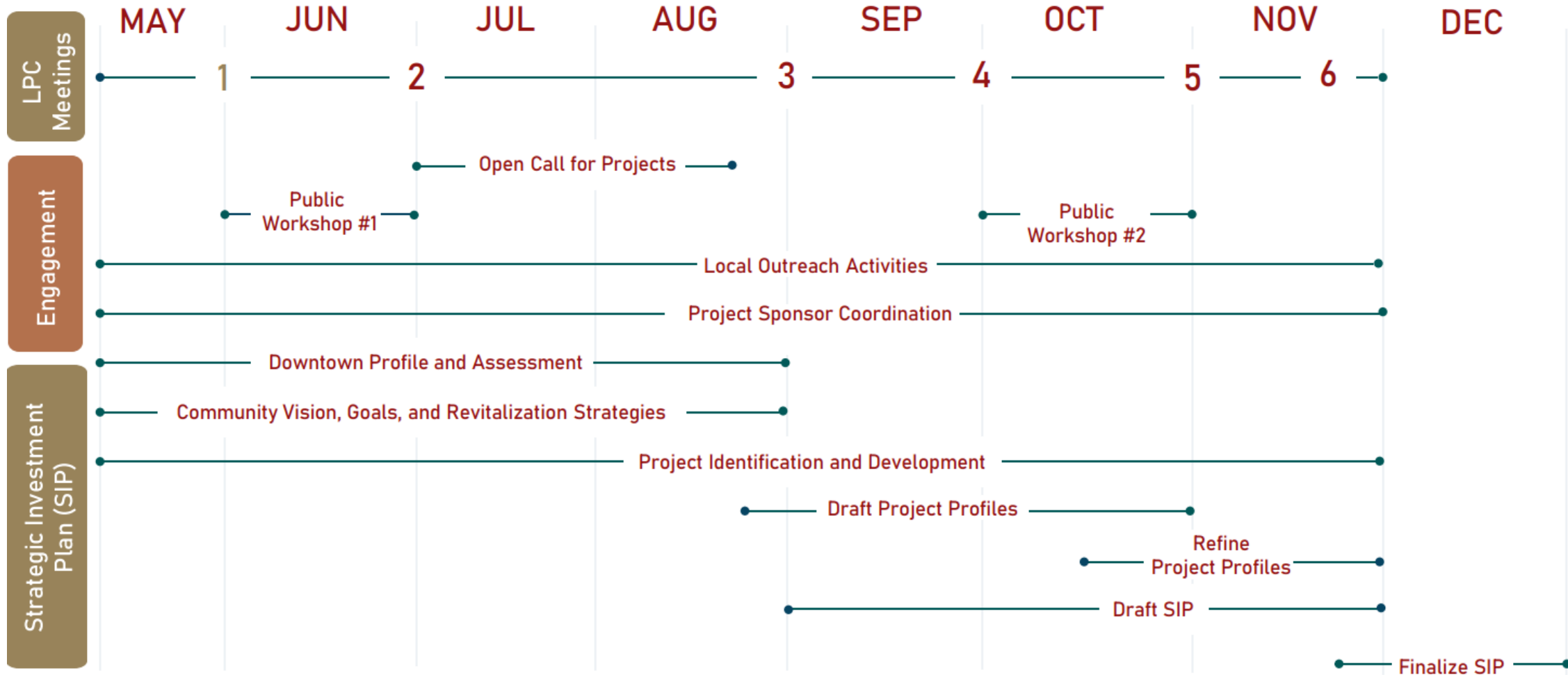
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DRI Process and Schedule



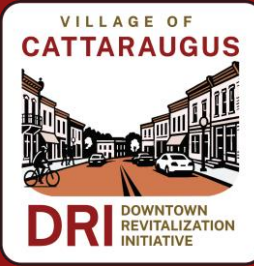
Cattaraugus DRI Planning Process and Key Deliverable Schedule



Project Development Milestones

- **LPC Meeting #3:** August 6, 2025
Initial presentation of submitted projects to the LPC
- **Public Workshop #2:** August 21, 2025
Collect public feedback on proposed projects
- **LPC Meeting #4:** September 10, 2025
Project sponsors present projects to LPC (if requested)
- **LPC Meeting #5:** October 16, 2025
LPC decides on preliminary slate of projects
- **LPC Meeting #6:** November 13, 2025
LPC votes on final slate of projects to be recommended to NYS for funding

Completing the DRI Project Form or Small Project Fund Interest Form



Cattaraugus DRI Project Form and Small Project Fund Interest Forms are available:

- Online at www.CattaraugusDRI.com/#projects
- In hard copy at Village Hall

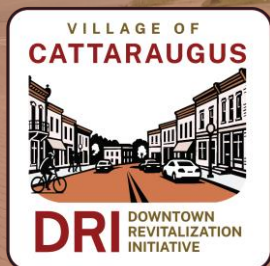
Information and further assistance:

- Virtual session Wednesday, July 9, 2:00-3:00pm (email Bob.Gibson@wsp.com to register)
- Open office hours Thursday, July 10, 11:30am-2:00pm at RocketCup Coffee

Contact Bob Gibson with follow-up questions or requests for technical assistance at Bob.Gibson@wsp.com



Questions?



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